

**Corporate Technology** 

# Formal security analysis and certification in industry, at the example of an AADS<sup>1</sup>



Dr. David von Oheimb Siemens Corporate Technology, Security

Guest lecture on invitation by Dr. Ricarda Weber at CS department of TU Munich, Germany, 04 June 2009

http://www11.in.tum.de/Veranstaltungen/SecurityEngineering2009/

<sup>1</sup>Airplane Assets Distribution System

#### **Overview**

- IT Security at Siemens CT
- Software Distribution Systems
- Common Criteria certification
- Formal Security Analysis
- Alice-Bob protocol model
- Validation with AVISPA Tool
- Conclusion

## Siemens Corporate Technology: About 1,800 Researchers and Developers Worldwide ...

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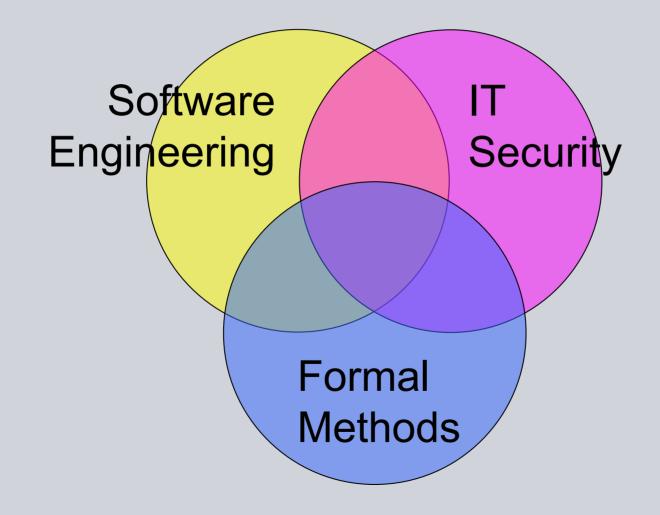
#### **Security Applications & Methods**



- **Secure Operating Systems, Trusted Platform Modules (TPM)**
- General Purpose Security Mechanisms, like:
  - Role / Policy Based Access Control (RBAC)
  - Public Key Infrastructure (PKI),
  - Single Sign-On (SSO)
- **Security of Service Oriented Architecture (SOA): Web Services etc.**
- **Application-level security: e-health, e-government, e-Commerce**
- Enterprise Rights Management (ERM)
- Formal Methods and Certification



#### Fields

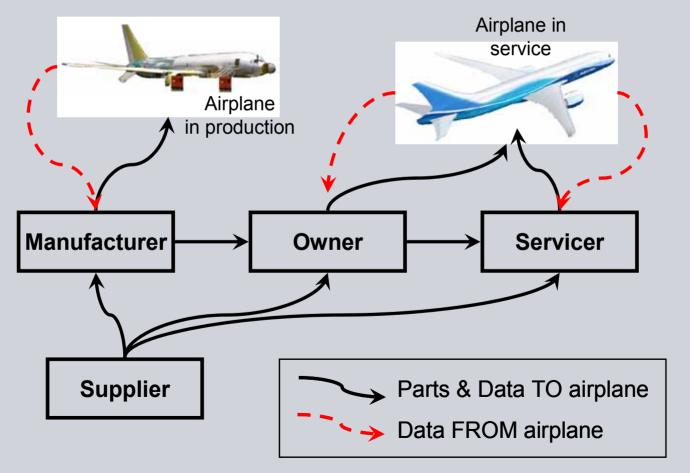


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## **Airplane Assets Distribution System**

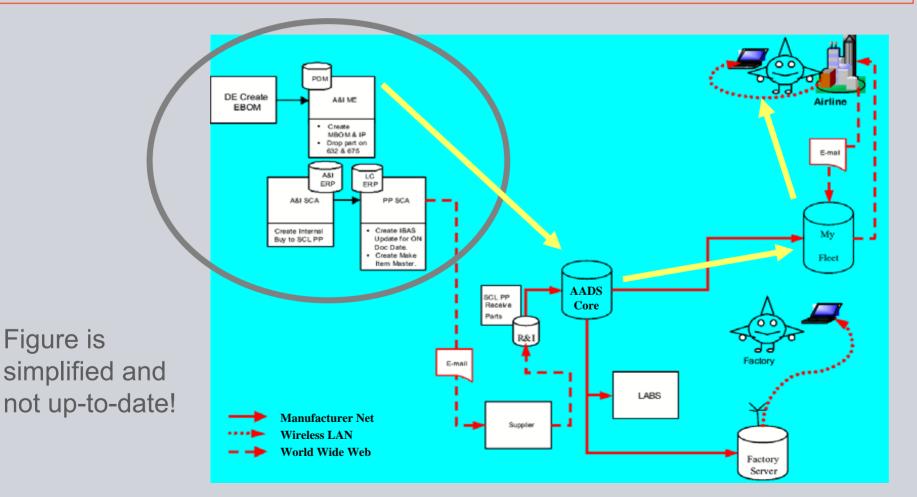
AADS is a system for storage and distribution of airplane assets, including *Loadable Software Airplane Parts* (LSAP) and airplane health data



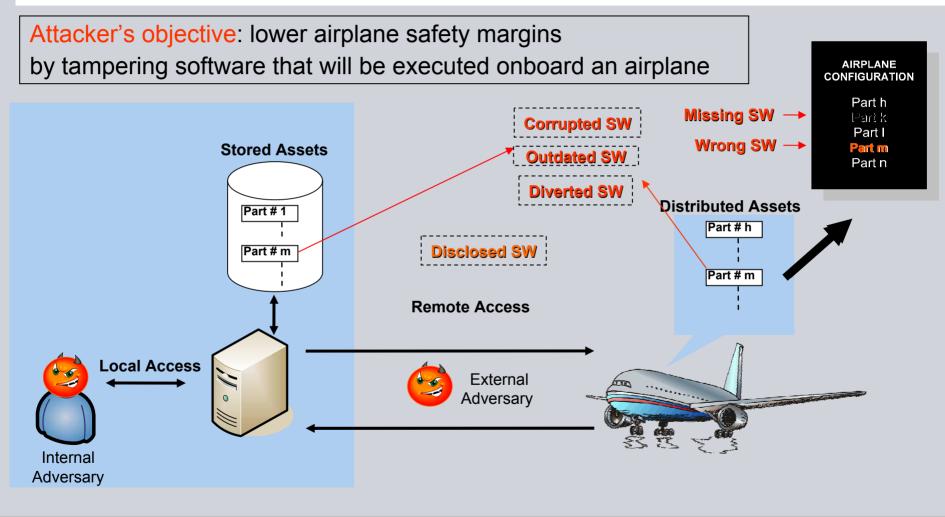


#### **AADS** architecture

A complex distributed store-and-forward middleware with OSS components



#### Security threats at the airplane example



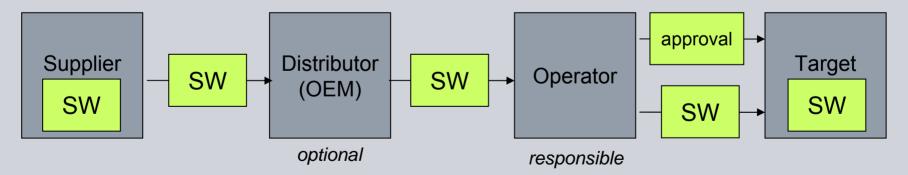
# Corruption/InjectionWrong VersionDiversionDisclosurewww.ct.siemens.com9© Siemens AG, CT IC 3, Dr. David von Oheimb, 2009

## Software Distribution System (SDS)

ICT systems with networked devices in the field performing safety-critical and/or security-critical tasks. Field devices require secure software update.

#### $\rightarrow$ Software Distribution System (SDS):

System providing secure distribution of software (SW) from software supplier to target devices in the field

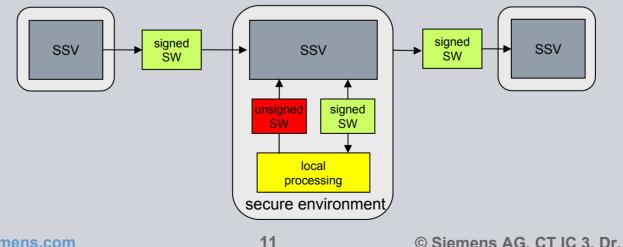


Transition from media-based (CD-ROMs etc.) to networked SW transport increases security risks due to transport over open, untrusted networks

## Software Signer Verifier (SSV)

Each node in SDS runs an SSV instance, used for:

- Introducing unsigned software into the SDS, by digitally signing and optionally encrypting it
- Verifying the signature on software received from other SSVs, checking integrity, authenticity and authorization of the sender
- Approving software by adding an authorized signature
- Delivering software out of the SDS after successfully verifying it



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## **IT Security as a System Engineering Problem**

 IT security aims at preventing, or at least detecting, unauthorized actions by agents in an IT system.

In the AADS context, security is a prerequisite of safety.

Safety aims at the absence of accidents (→ airworthiness)

Situation: security loopholes in IT systems actively exploited
Objective: thwart attacks by eliminating vulnerabilities
Difficulty: IT systems are very complex. Security is interwoven with the whole system, so very hard to assess.

Remedy: evaluate system following the Common Criteria approach

- address security systematically in all development phases
- perform document & code reviews and tests
- for maximal assurance, use formal modeling and analysis

## Common Criteria (CC) for IT security evaluation





product-oriented methodology for IT security assessment **ISO/IEC standard** 15408 Current version: 3.1 of end-2006

Aim: gain confidence in the security of a system

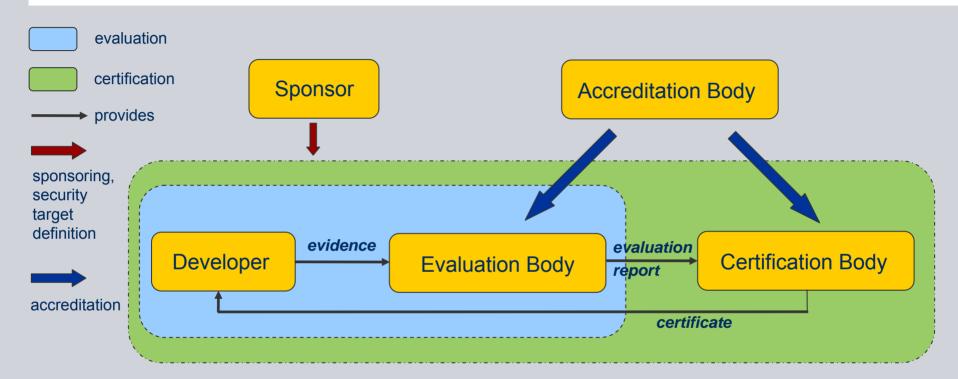
- What are the objectives the system should achieve?
- Are the measures employed appropriate to achieve them?
- Are the measures implemented and deployed correctly?

#### **CC General Approach**

**Approach**: assessment of system + documents by neutral experts

- Gaining understanding of the system's security functionality
- Checking evidence that the functionality is correctly implemented
- Checking evidence that the system integrity is maintained

#### **CC Process Scheme**



Certification according to the Common Criteria is a rather complex, time consuming and expensive process.

A successful, approved evaluation is awarded a certificate.

### **CC: Security Targets**

Security Target (ST): defines extent and depth of the evaluation

for a specific product called Target of Evaluation (TOE)

Protection Profile (PP): defines extent and depth of the evaluation

for a whole class of products, i.e. firewalls

STs and PPs may inherit ('*claim*') other PPs.

ST and PP specifications use **generic** "construction kit":

Building blocks for defining Security Functional Requirements (SFRs)

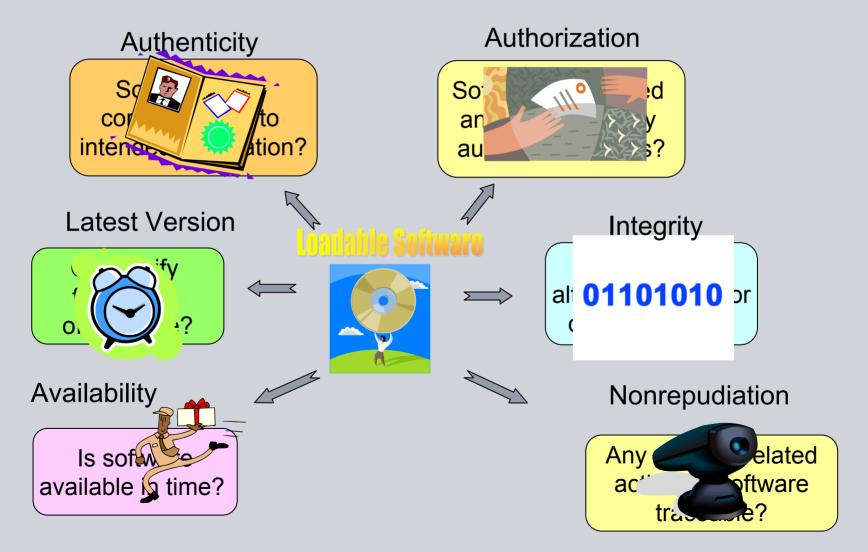
Scalable in depth and rigor: Security Assurance Requirements (SARs)

layered as *Evaluation Assurance Levels (EALs)* 

## AADS Security Specification: CC Protection Profile (1)

- 1. Introduction
- 2. System Description Target of Evaluation (TOE)
- 3. Security Environment
  - Assets and Related Actions
  - Threats
  - Required Assurance Level
  - Assumptions
- 4. Security Objectives
  - ...
  - Rationale

#### **Security Objectives for AADS**





#### Threats Addressed by the AADS Security Objectives

Threats		Safety-relevant				Business-relevant			
Objectives		Corruption	Misconfiguration	Diversion	Staleness	Unavailability	Late Detection	False Alarm	Repudiation
	Integrity								
Safety- relevant	Correct Destination								
	Latest Version				$\checkmark$				
	Authentication		$\checkmark$						
	Authorization		$\checkmark$						
	Timeliness				$\checkmark$				
	Availability					$\checkmark$			
	Early Detection								
Business- Relevant	Correct Status								
Kele vant	Traceability	$\checkmark$	$\checkmark$						
	Nonrepudiation								
	Part_Coherence		$\checkmark$						
	Loading_Interlocks	$\checkmark$	$\checkmark$	$\checkmark$					
Environment	Protective_Channels	$\checkmark$							
	Network_Protection				$\checkmark$	$\checkmark$			
	Host_Protection	$\checkmark$							
	Adequate_Signing	$\checkmark$							
Assumptions	Configuration								
	Development				$\checkmark$	$\checkmark$			
	Management	$\checkmark$	$\checkmark$						$\checkmark$

## AADS Security Specification: CC Protection Profile (2)

- 1. Introduction
- 2. System Description
- 3. Security Environment
  - Assets and Related Actions
  - Threats
  - Required Assurance Level
  - Assumptions
- 4. Security Objectives
  - ...
  - Rationale
- 5. Security Functional Requirements
  - ...
  - Rationale



## **CC: Security Functional Requirements (SFRs) overview**

#### FAU: Security audit

- Security audit automatic response (FAU\_ARP)
- Security audit data generation (FAU\_GEN)
- Security audit analysis (FAU\_SAA)
- Security audit review (FAU\_SAR)
- Security audit event selection (FAU\_SEL)
- Security audit event storage (FAU\_STG)
- FCO: Communication
- FCS: Cryptographic support
- FDP: User data protection
- FIA : Identification and authentication
- FMT: Security management
- **FPR:** Privacy
- **FPT: Protection of the TSF**
- FRU: Resource utilization
- FTA: TOE access
- FTP: Trusted path/channels

	Assurance class	Assurance Family		ssuranc	Assu	rance I	Level		on EAL7	SIEMENS
CC: EALs		ADV_ARC	EAL1	EAL2	EAL3	EAL4	EAL5	EAL6	EAL/	
		ADV_FSP	1	2	3	4	5	5	6	
	Development	ADV_IMP				1	1	2	2	
	Development	ADV_INT					2	3	3	
		ADV_SPM						1	1	
Security		ADV_TDS		1	2	3	4	5	6	
· · · · · · · · · · · · · · · · · · ·	Guidance	AGD_OPE	1	1	1	1	1	1	1	
Assurance	documents	AGD_PRE	1	1	1	1	1	1	1	
Requirements		ALC_CMC	1	2	3	4	4	5	5	
		ALC_CMS	1	2	3	4	5	5	5	
(SARs)	Life-cycle	ALC_DEL		1	1	1	1	1	1	
	•	ALC_DVS			1	1	1	2	2	
grouped as	support	ALC_FLR								
grouped do		ALC_LCD			1	1	1	1	2	
		ALC_TAT				1	2	3	3	
Evaluation		ASE_CCL	1	1	1	1	1	1	1	
		ASE_ECD	1	1	1	1	1	1	1	
Assurance	Security	ASE_INT	1	1	1	1	1	1	1	
Levels	Target	ASE_OBJ	1	2	2	2	2	2	2	
(EALs)	evaluation	ASE_REQ	1	2	2	2	2	2	2	
		ASE_SPD		1	1	1	1	1	1	
		ASE_TSS	1	1	1	1	1	1	1	
		ATE_COV		1	2	2	2	3	3	
	Tests	ATE_DPT			1	2	3	3	4	
	Tests	ATE_FUN		1	1	1	1	2	2	
		ATE_IND	1	2	2	2	2	2	3	
www.ct.siemens.com	Vulnerability assessment	AVA_VAN	1	2	2	3	4	5	5	David von Oheimb, 2009

## **CC: Evaluation Assurance Level 2**

Development	—	curity architecture description curity-enforcing functional specification sic design
Guidance documents	— ·	erational user guidance eparative procedures
Life-cycle support	ALC_CMS.2 Pa	e of a CM system rts of the TOE CM coverage livery procedures
Security Target Evaluation	ASE_XXX (6 fai	milies of components)
Tests	ATE_FUN.1 Fu	dence of coverage nctional testing lependent testing - sample
Vulnerability analysis	AVA_VAN.2 Vul	nerability analysis
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#### **CC: Evaluation Assurance Level 4**

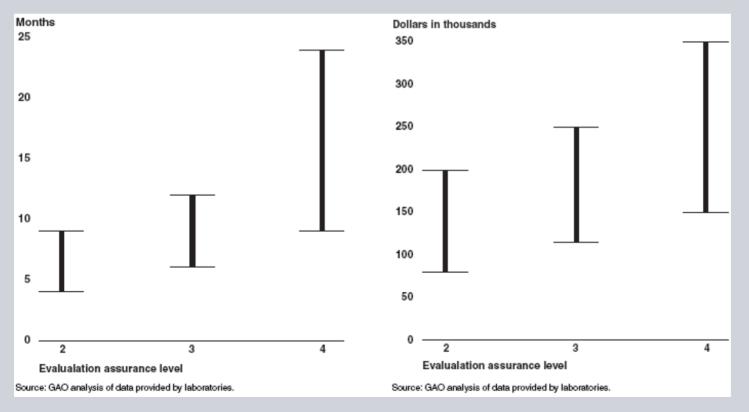
Development	ADV_FSP.4 <b>Complete</b> functional specification <b>ADV_IMP.1 Implementation representation of the TSF</b> ADV_TDS. <b>3</b> Basic <b>modular</b> design
Guidance documents	
Life-cycle support	ALC_CMC.4 Production support, acceptance procedures and automation ALC_CMS.4 Problem tracking CM coverage ALC_DVS.1 Identification of security measures ALC_LCD.1 Developer defined life-cycle model ALC_TAT.1 Well-defined development tools
Security Target Eval.	
Tests	ATE_COV.2 Analysis of coverage ATE_DPT.2 Testing: security enforcing modules
Vulnerability analysis	AVA_VAN.3 Focused vulnerability analysis

## **CC: Evaluation Assurance Level 6**

Development	ADV_FSP.5 Com	plete semi-formal functional spec.
	with	additional error information
	ADV_IMP.2 Imple	ementation of the TSF
	ADV_INT.3 Minir	nally complex internals
	ADV_SPM.1 For	mal TOE security policy model
	ADV_TDS.5 Com	plete semiformal modular design
Guidance documents	_	
Life-cycle support	ALC_CMC.5 Adv	anced support
	ALC_CMS.5 Dev	elopment tools CM coverage
	ALC DVS.2 Suff	ciency of security measures
	ALC TAT.3 Com	pliance with implementation standards
	– all	parts
Security Target Eval.		
Tests	ATE COV.3 Rigo	orous analysis of coverage
		ng: <b>modular design</b>
		ered functional testing
Vulnerability analysis	AVA_VAN.5 Adv	anced methodical vulnerability analysis
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#### **CC: Factors determining the evaluation effort**

- Definition of TOE vs. TOE environment
- Definition of Treats and Security Objectives for the TOE
- Definition of Security Functional Requirements (SFRs)
- Selection of Evaluation Assurance Level (EAL)



## Selection of Evaluation Assurance Level (EAL) for AADS

	Flight safety	Airline business
Threat Level	T5: XXX = significant	T4: XXX = little
assume sophisticated adversary with moderate resources who is willing to take XXX risk	e.g. intl. terrorists	e.g. organized crime,
		sophisticated hackers,
		intl. corporations
Information Value	V5: YYY=	V4: YYY = serious
violation of the protection policy would cause	exceptionally grave	Risk: airplanes out of
YYY damage to the security, safety, financial	Risk: loss of lives	service, or damage
posture, or infrastructure of the organization		airline reputation
<b>Evaluation Assurance Level</b> for the given Treat Level and Information Value	EAL 6: semiformally verified design and tested	<b>EAL 4</b> : methodically designed, tested, and reviewed

Evaluating the whole AADS at EAL 6 would be extremely costly. Currently available Public Key Infrastructure (PKI) certified only at EAL 4. Two-level approach: evaluate only LSAP integrity & authenticity at EAL6.

## Hybrid security assessment

- Highest CC evaluation assurance levels (EAL 6-7) require formal analysis
- SDS usually are complex distributed systems with many components



General problems:

- -Highly critical system, but (complete) formal analysis too costly
- CC offer only limited support ("CAP") for modular system evaluation

## Pragmantic approach:

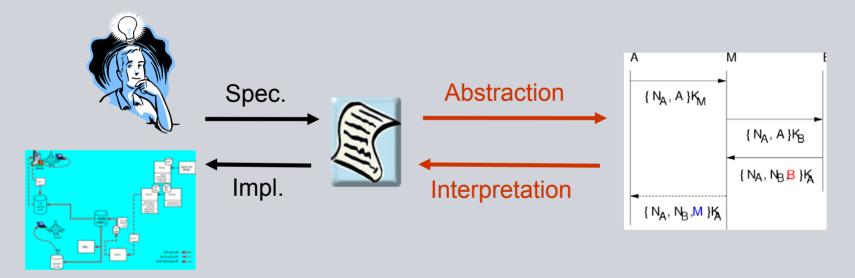
- Define confined security kernel with generic component: SSV
- Software Signer Verifier (SSV) handles digital signatures at each node
- Evaluate SSV according to Common Criteria EAL4 (non-formal)
- Analyze the interaction of SSVs in a formal way ( $\rightarrow$  crypto protocol)

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### Formal Security Analysis: Approach and Benefits

Mission: security analysis with maximal precision Approach: formal modeling and verification



Improving the quality of the system specification Checking for the existence of security loopholes

High-Level Protocol Spec. Language Model checkers (AVISPA tools)

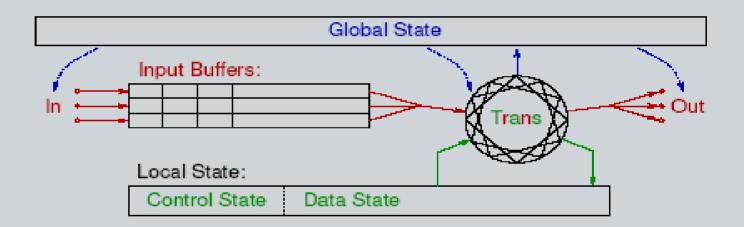
Interacting State Machines Interactive theorem prover (Isabelle)

## **Security Models**

- A security policy defines what is allowed (actions, data flow, ...) typically by a relationship between subjects and objects.
- A security model is a (+/- formal) description of a policy and enforcing mechanisms, usually in terms of system states or state sequences (traces).
- Security verification proves that mechanisms enforce policy.
- Models focus on specific characteristics of the reality (policies).
- Types of formal security models
  - Automata models
  - Access Control models
  - Information Flow models
  - Cryptoprotocol models

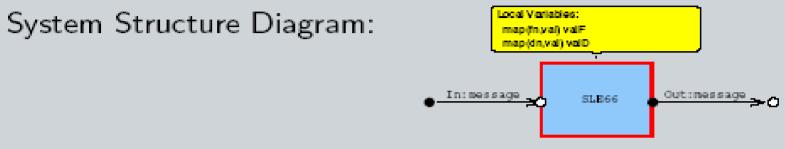
## **Interacting State Machines (ISMs)**

Automata with (nondeterministic) state transitions + buffered I/O, simultaneously on multiple connections.

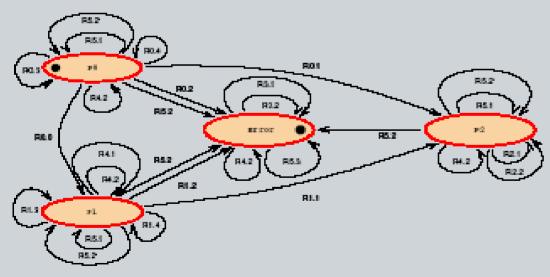


Transitions definable in executable and/or axiomatic style. An ISM system may have changing global state. Applicable to a large variety of reactive systems. By now, not much verification support (theory, tools).

## Model of Infineon SLE 66 Smart Card Processor



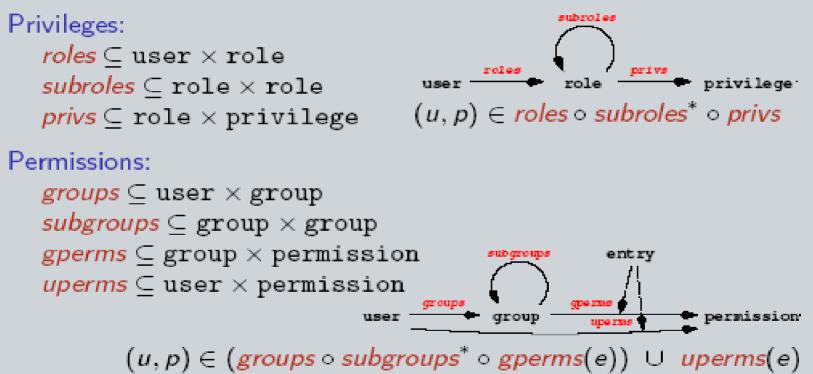
State Transition Diagram (abstracted):



First higher-level (EAL5) certification for a smart card processor!

## **RBAC of Complex Information System**

Is the security design (with emergency access etc.) sound?

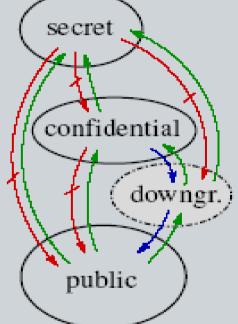


"nagging questions"  $\rightarrow$  clarifications improving specification quality. Open issue: relation between model and implementation ( $\rightarrow$  testing).

## **Information Flow Models**

- Identify knowledge/information domains
- Specify allowed flow between domains
- Check the observations that can be made about state and/or actions
- Consider also indirect and partial flow
- Classical model: Noninterference (Goguen & Meseguer)
- Many variants: Non-deducability, Restrictiveness, Non-leakage, ...

Very strong, but rarely used in practiceAvailable:connection with ISMs



#### Language-based Information Flow Security

- Policy: no assignments of high-values to low-variables, enforced by type system
- Semantically: take (x, y) as elements of the state space with high-level data (on left) and low-level data (on right).
  - Step function  $S(x, y) = (S_H(x, y), S_L(x, y))$ does not leak information from high to low if  $S_L(x_1, y) = S_L(x_2, y)$  (functional independence). Observational equivalence  $(x, y) \stackrel{L}{\sim} (x', y') : \longleftrightarrow y = y'$ allows re-formulation:

$$s \stackrel{L}{\sim} t \longrightarrow S(s) \stackrel{L}{\sim} S(t)$$
 (preservation of  $\stackrel{L}{\sim}$ )

Generalization to action sequences  $\alpha$  and arbitrary policies  $\rightsquigarrow$ 

# Cryptoprotocol models

Describe message exchange between processes or principals

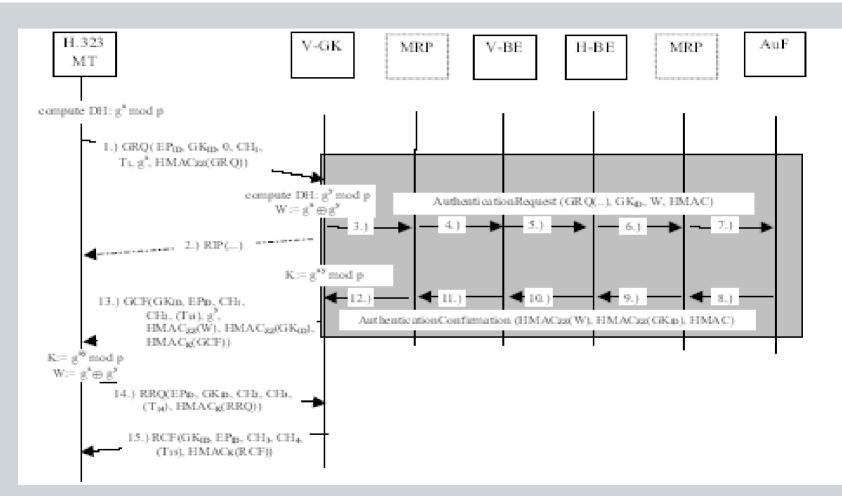


- Take cryptographic operations as perfect primitives
- Describe system with specialized modeling languages
- State secrecy, authentication, . . . goals
- Verify (mostly) automatically using model-checkers

EU project AVISPA , ...

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#### **H.530 Mobile Roaming Authentication**



#### Two vulnerabilities found and corrected. Solution standardized.

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#### **Shaping a Formal Model**

Formality Level: should be adequate:

- the more formal, the more precise,
- but requires deeper mastering of formal methods

Choice of Formalism: dependent on ...

- application domain, modeler's experience, tool availability, ...
- formalism should be simple, expressive, flexible, mature

Abstraction Level: should be ...

- high enough to achieve clarity and limit the effort
- Iow enough not to loose important detail

*refinement* allows for both high-level and detailed description

#### **Formal Security Analysis: Information Required**

- Overview: system architecture (components and interfaces), e.g. databases, authentication services, connections,...
- Security-related concepts: actors, assets, states, messages, …
- Threats: which attacks have to be expected.
- Assumptions: what does the environment fulfill.
- Security objectives: what the system should achieve.
   Described in detail such that concrete verification goals can be set up

   e.g. integrity: which contents shall be modifiable by whom, at which times,
   by which operations (and no changes otherwise!)
- Security mechanisms: relation to objectives and how they are achieved.
   e.g. who signs where which contents, and where is the signature checked
   Described precisely but at high level (no implementation details required),
   e.g. abstract message contents/format but not concrete syntax



#### **Development Phases and the Benefits of Formal Analysis**

#### Requirements analysis:

understanding the security issues

- abstraction: concentration on essentials, to keep overview
- genericity: standardized patterns simplify the analysis

#### Design, documentation:

quality of specifications

enforces preciseness and completeness

#### Implementation:

effectiveness of security functionality

formal model as precise reference for testing and verification

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#### Formal modeling: Alice-Bob notation

A - M -> B	message M sent from A to B
Asset	a software item including its identity
h(M)	the hash value (i.e. crypto checksum) of content M
M.N	the concatenated contents of ${\tt M}$ and ${\tt N}$
{M}_inv(K)	content ${\tt M}$ digitally signed with private key ${\tt K}$
{M}_K	content M encrypted with public key K

#### Formal modeling: SDS protocol structure

SUP: software supplierwith private keDIS: software distributorwith private keOP : target operatorwith private keTD : target devicewith private ke

with private key inv(KSUP)
with private key inv(KDIS)
with private key inv(KOP)
with private key inv(KTD)

Signatures comprise hash value of asset and **identity of intended receiver** Signatures are applied in parallel (rather than nested or discarded)



#### Formal modeling: SDS approvals and certificates

- Approval information partially modelled: operator determines target
- Certificate of a node relates its identity with its public key, e.g. certificate of supplier SUP: Certsup = {SUP.KSUP}\_inv(KCA)
- Certificate authority (CA) with private key inv(KCA)
- Certificates are self-signed or signed by CA
- Locally stored sets of public keys of trusted SSVs and CAs

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#### **Verification goals**

Show asset authenticity & integrity (end-to-end) and confidentiality:

- assets accepted by target have indeed been sent by the supplier
- assets accepted by target have not been modified during transport
- assets remain secret among the SSV instances
   Proved asset authenticity & integrity also hop-by-hop

#### Correct destination covered:

Name of the intended receiver in signed part, checked by target.
 Signature of the operator acts as installation approval statement

Correct version not modelled:

 Version info is integrity protected, but checks delegated to SSV local environment

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#### **Results of formal verification**

- Alice-Bob notation not detailed and precise enough
- Use the specification language of the AVISPA Tool: HLPSL
- Software Signer Verifier (SSV) as parameterized role (node class)
- SDS as communication protocol linking different SSV instances
- Multiple protocol sessions describing individual SW transports
- Modelcheckers at their complexity limits, due to
  - parallel signatures, only the latest one being checked
  - multiple instances of central nodes (e.g. manufacturer)
  - ...?

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## **Conclusion (1) on AADS**

- Challenges for AADS development
  - pioneering system design and architecture
  - complex, heterogeneous, distributed system
  - security is critical for both safety and business
- Common Criteria offer adequate methodology for assessment
- Systematic approach, in particular formal analysis, enhances
  - understanding of the security issues
  - quality of specifications and documentation
  - confidence (of Boeing, customers, FAA, etc.) in the security solutions

## **Conclusion (2) on AADS**

- Experience with SDS evaluation
  - Common Criteria most widely accepted methodology
  - Problem of compositional security evaluation not solved
  - Use formal analysis where cost/benefit ratio is best
  - Highly precise design and documentation: assumptions, requirements
  - Shape system architecture to support security evaluation
  - Future steps
    - Key management aspects:

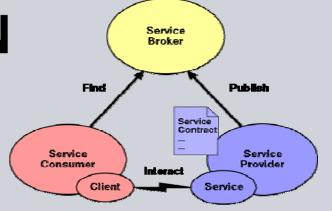
Public Key Infrastructure (PKI) components

Configuration management

with installation instructions and reports

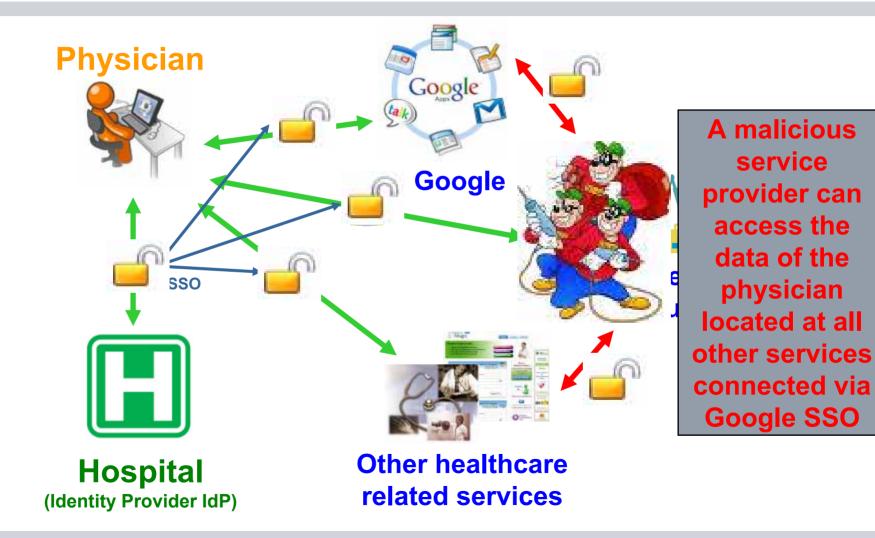


## **Automated VAlidatioN** of **Trust and Security** of Service-oriented ARchitectures



## FP7-2007-ICT-1, ICT-1.1.4, Strep project no. 216471 (36 months duration, 590 PMs, 6M€ budget, 3.8M€ EC contribution)

# Single Sign-On (SSO): One access point for everything **SIEMENS** AVANTSSAR analysis of Google SAML SSO: also for attackers!



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#### Further info on AVANTSSAR

#### **AVANTSSAR** project motivation

ICT paradigm shift: from components to services, composed and reconfigured dynamically in a demanddriven way

Trustworthy service may interact with others causing novel trust and security problems

Validation of composition of individual services into service-oriented architectures dramatically needed



#### **AVANTSSAR** consortium

#### Industry

**IBM Zurich Research Labs** 

OpenTrust Paris

SAP Research France

Siemens AG Munich

#### Academia

Università di Verona

**ETH** Zurich

**INRIA** Lorraine

**UPS-IRIT** Toulouse

Università di Genova

**IEAT** Timisoara

#### Expertise

Service-oriented enterprise architectures Security solutions Standardization and industry migration

Automated security validation Formal methods Security engineering

#### **AVANTSSAR** main objectives and principles

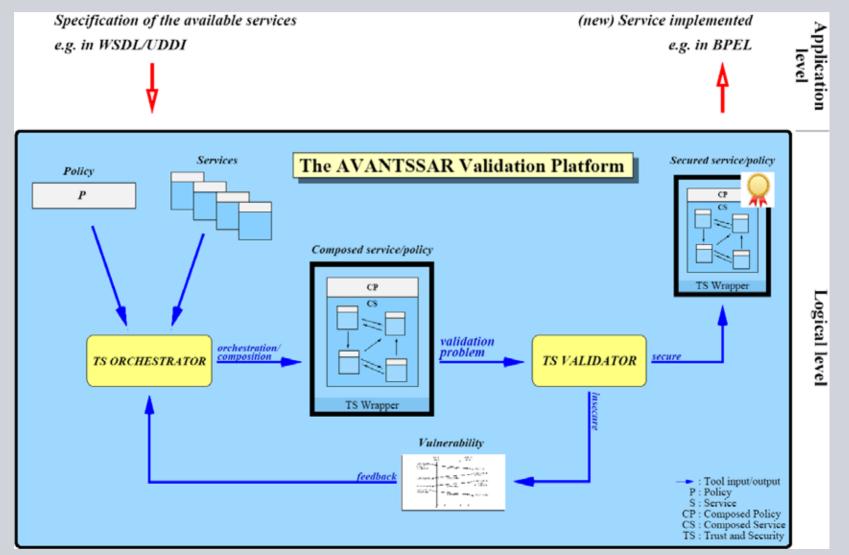
Platform for formal specification and automated validation of trust and security of SOAs

- First formal language for specifying trust and security properties of services, their policies, and their composition into service-oriented architectures
- Automated toolset supporting the above
- Library of validated industrially-relevant case studies

#### Migration of platform to industry and standardization organizations

- Speed-up development of new service infrastructures
- Enhance their security and robustness
- Increase public acceptance of SOAs

#### **AVANTSSAR** project results and innovation



#### **AVANTSSAR** impact: industry migration

Services need to be securely combined according to evolving trust and security requirements and policies

A rigorous demonstration that a composed SOA meets the security requirements and enforces the application policy will

- significantly increase customers' confidence
- enable them to fully exploit the benefits of service orientation

Integration of AVANTSSAR Platform in industrial development environment

#### The AVANTSSAR Platform will advance the security of industrial vendors' service offerings: validated, provable, traceable

AVANTSSAR will thus significantly strengthen the competitive advantage of the products of the industrial partners

